



da/sec

BIOMETRICS AND INTERNET-SECURITY
RESEARCH GROUP

 **ATHENE**
National Research Center
for Applied Cybersecurity

Fingerprints, forever young?

Roman Kessler

roman.kessler@stud.h-da.de

Hochschule Darmstadt, ATHENE, da/sec Research Group



Milan, Italy , 10-15 Jan 2021

Fingerprint (Template) Ageing

Methods

Results

Conclusion

A decrease in mated similarity score,
with increasing time interval
between reference and probe image.

children

- ▶ fingerprints grow uniformly into each direction
- e.g.

- ▶ Gottschlich, Carsten; Hotz, Thomas; Lorenz, Robert; Bernhardt, Stefanie; Hantschel, Michael; Munk, Axel (2011). Modeling the Growth of Fingerprints Improves Matching for Adolescents. *IEEE Transactions on Information Forensics and Security*
- ▶ Haraksim, Rudolf; Galbally, Javier; Beslay, Laurent (2019). Fingerprint growth model for mitigating the ageing effect on children's fingerprints matching. *Pattern Recognition*
- ▶ Galbally, Javier; Haraksim, Rudolf; Beslay, Laurent (2018). A Study of Age and Ageing in Fingerprint Biometrics. *IEEE Transactions on Information Forensics and Security*

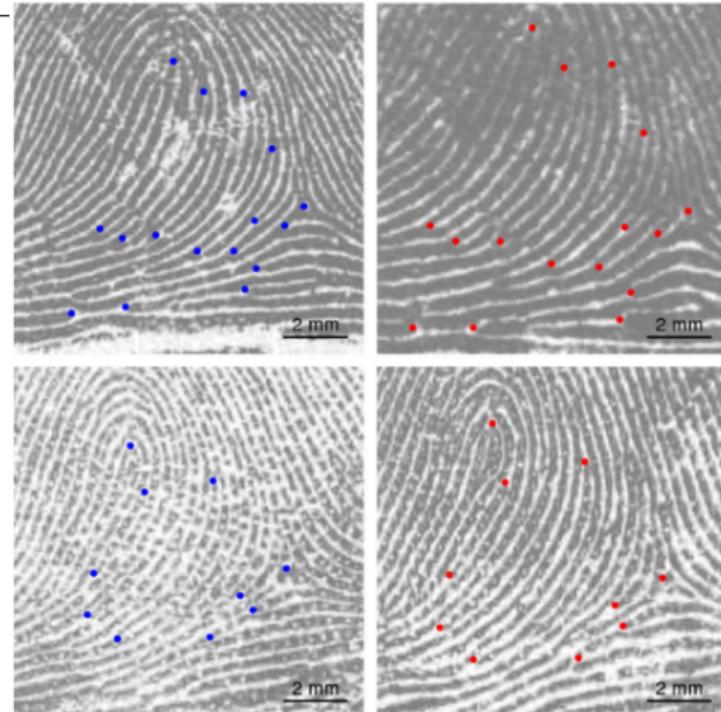


Figure adapted from: Gottschlich, T. Hotz, R. Lorenz, S. Bernhardt, M. Hantschel, and A. Munk, "Modeling the growth of fingerprints improves matching for adolescents"

adults

- ▶ mated similarity scores decrease with increasing time between samples
- ▶ decreasing image quality → decreasing similarity score
- ▶ e.g.

- ▶ Arnold, M.; Busch, C.; Ihmor, H. (2005). [IEEE Proceedings from the Sixth Annual IEEE Systems, Man and Cybernetics (SMC) Information Assurance Workshop, 2005]
- ▶ Yoon, Soweon, and Anil K. Jain. "Longitudinal study of fingerprint recognition." *Proceedings of the National Academy of Sciences*
- ▶ Galbally, Javier; Haraksim, Rudolf; Beslay, Laurent (2018). A Study of Age and Ageing in Fingerprint Biometrics. *IEEE Transactions on Information Forensics and Security*
- ▶ Kirchgasser, Simon; Uhl, Andreas (2017). [IEEE 2017 IEEE International Conference on Identity, Security and Behavior Analysis (ISBA) - New Delhi, India]

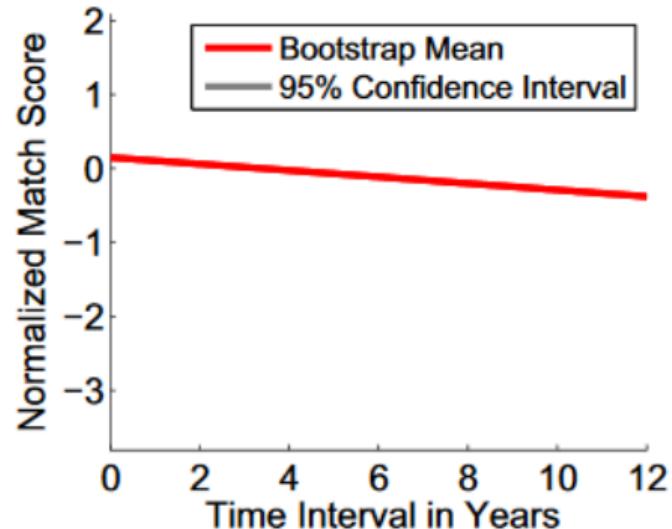


Figure adapted from: Yoon, Soweon, and Anil K. Jain. "Longitudinal study of fingerprint recognition." *Proceedings of the National Academy of Sciences*



da/sec

BIOMETRICS AND INTERNET-SECURITY
RESEARCH GROUP

Methods



Fingerprint (Template) Ageing

Methods

Results

Conclusion

data & subjects

- ▶ fingerprint scanner
 - ▶ capacitive (UPEK TouchChip)
 - ▶ embedded in an access control framework
 - ▶ 508 ppi
 - ▶ 256×360 px
- ▶ data subjects
 - ▶ $n = 20$ (6 females)
 - ▶ 21 – 58 years (Md. 31) at enrolment
 - ▶ 1 – 4 finger instances per data subject (left/right index finger/thumb)
 - ▶ 3 – 1772 samples per finger
 - ▶ up to 12 years between samples

data & subjects

- ▶ fingerprint scanner
 - ▶ capacitive (UPEK TouchChip)
 - ▶ embedded in an access control framework
 - ▶ 508 ppi
 - ▶ 256×360 px
- ▶ data subjects
 - ▶ $n = 20$ (6 females)
 - ▶ 21 – 58 years (Md. 31) at enrolment
 - ▶ 1 – 4 finger instances per data subject (left/right index finger/thumb)
 - ▶ 3 – 1772 samples per finger
 - ▶ up to 12 years between samples

data & subjects

- ▶ fingerprint scanner
 - ▶ capacitive (UPEK TouchChip)
 - ▶ embedded in an access control framework
 - ▶ 508 ppi
 - ▶ 256×360 px
- ▶ data subjects
 - ▶ $n = 20$ (6 females)
 - ▶ 21 – 58 years (Md. 31) at enrolment
 - ▶ 1 – 4 finger instances per data subject (left/right index finger/thumb)
 - ▶ 3 – 1772 samples per finger
 - ▶ up to 12 years between samples

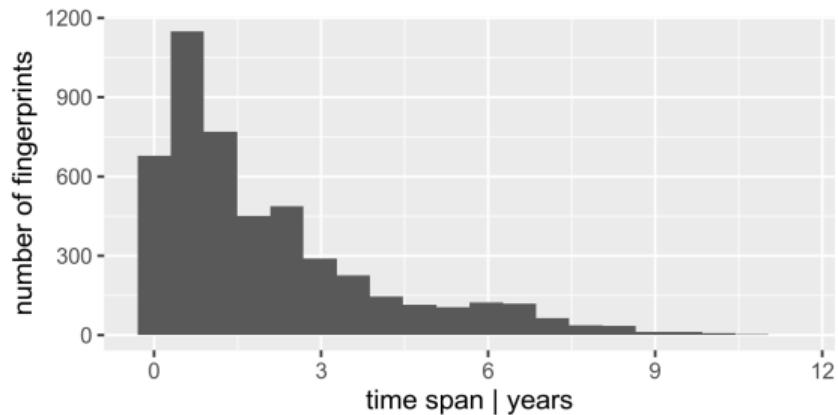


image processing

- ▶ FingerNet framework
(minutia extraction)

Tang, F. Gao, J. Feng, and Y. Liu, "FingerNet: A unified deep network for fingerprint minutiae extraction"

- ▶ Minutia Cylinder Code
(→ mated similarity scores)

Cappelli, M. Ferrara, and D. Maltoni, "Minutia cylinder-code: A new representation and matching technique for fingerprint recognition"

- ▶ NFIQ2.0
(→ fingerprint quality)

<https://www.nist.gov/publications/fingerprint-image-quality>

- ▶ filtering procedure
 - ▶ only impressions with quality score > 10
 - ▶ only impressions with > 16 Minutia
 - ▶ keep only instances with a time interval > 1 year

image processing

- ▶ FingerNet framework
(minutia extraction)

Tang, F. Gao, J. Feng, and Y. Liu, "FingerNet: A unified deep network for fingerprint minutiae extraction"

- ▶ Minutia Cylinder Code
(→ mated similarity scores)

Cappelli, M. Ferrara, and D. Maltoni, "Minutia cylinder-code: A new representation and matching technique for fingerprint recognition"

- ▶ NFIQ2.0
(→ fingerprint quality)

<https://www.nist.gov/publications/fingerprint-image-quality>

- ▶ filtering procedure
 - ▶ only impressions with quality score > 10
 - ▶ only impressions with > 16 Minutia
 - ▶ keep only instances with a time interval > 1 year

image processing

- ▶ FingerNet framework
(minutia extraction)

Tang, F. Gao, J. Feng, and Y. Liu, "FingerNet: A unified deep network for fingerprint minutiae extraction"

- ▶ Minutia Cylinder Code
(→ mated similarity scores)

Cappelli, M. Ferrara, and D. Maltoni, "Minutia cylinder-code: A new representation and matching technique for fingerprint recognition"

- ▶ NFIQ2.0
(→ fingerprint quality)

<https://www.nist.gov/publications/fingerprint-image-quality>

- ▶ filtering procedure
 - ▶ only impressions with quality score > 10
 - ▶ only impressions with > 16 Minutia
 - ▶ keep only instances with a time interval > 1 year

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: mated similarity scores should decrease with increasing time interval
- ▶ consider: fingerprint quality und sociodemographic factors
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij}} + \underline{\alpha_i + \gamma_i + b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: mated similarity scores should decrease with increasing time interval
- ▶ consider: fingerprint quality und sociodemographic factors
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij}} + \underline{\alpha_i + \gamma_i + b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: **mated similarity scores** should decrease with increasing **time interval**
- ▶ consider: fingerprint quality und **sociodemographic factors**
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_i} + \underline{b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: **mated similarity scores** should decrease with increasing **time interval**
- ▶ consider: **fingerprint quality** und **sociodemographic factors**
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_i + b_{0i}} + \underline{b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: **mated similarity scores** should decrease with increasing **time interval**
- ▶ consider: **fingerprint quality** und **sociodemographic factors**
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_i} + \underline{b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction

Hierarchical Linear Modeling (HLM)

- ▶ research question: *Can we find support for fingerprint template ageing?*
- ▶ operationalization: **mated similarity scores** should decrease with increasing **time interval**
- ▶ consider: **fingerprint quality** und **sociodemographic factors**
- ▶ modeling:

$$y_{ij} = \underline{\beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_i} + \underline{b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij}} + e_{ij}$$

fixed effects \iff random effects

- ▶ model reduction



da/sec

BIOMETRICS AND INTERNET-SECURITY
RESEARCH GROUP

Results



Fingerprint (Template) Ageing

Methods

Results

Conclusion

Model backwards regression: what do eliminated terms tell us about the data?

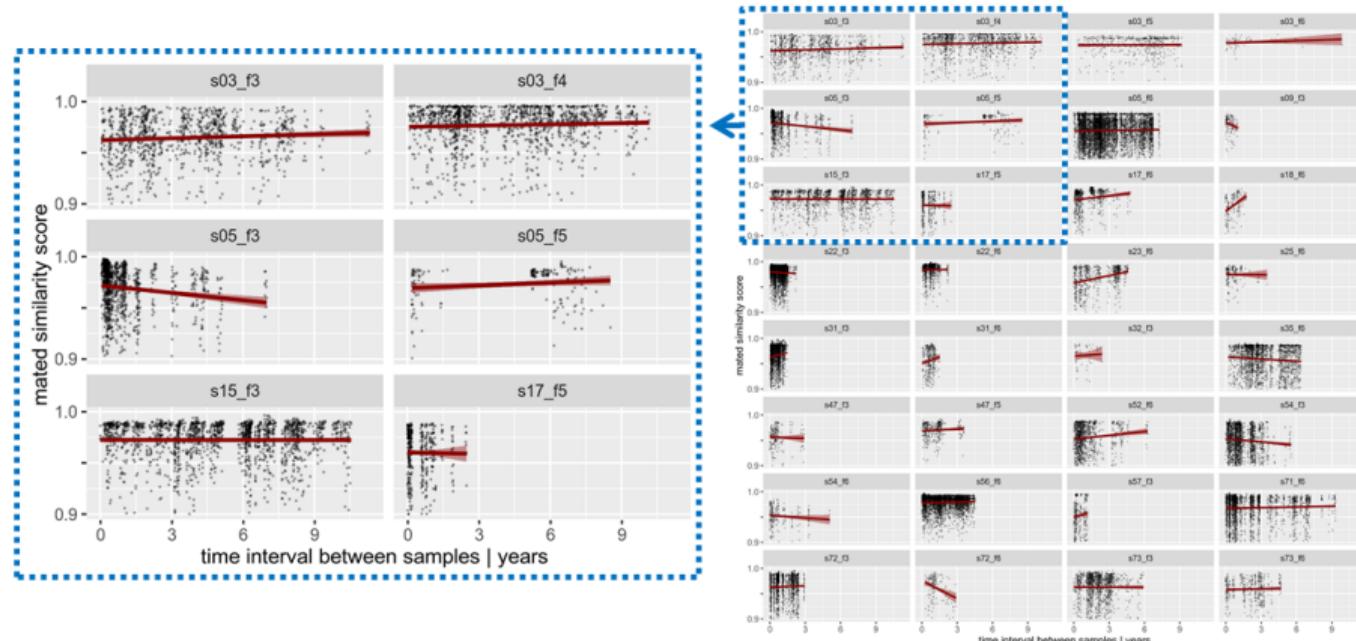
$$y_{ij} = \beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_j + b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij} + e_{ij}$$

Effects on **mated similarity score**:

- ▶ **global** (i.e. fixed) intercept is high (high similarity scores between samples acquired closely in time)
- ▶ no **global** effect of increasing **time interval**
- ▶ no **global** effect of **image quality**
- ▶ no **global** effect of **age and gender**
- ▶ random intercept: significant, subject-specific deviations in intercept
- ▶ **within-subject** (i.e. random) effect of increasing **time interval**
- ▶ **within-subject** effect of **image quality**

Results

mated similarity scores behave differently within different finger instances



How much variability in the data is explained by each random effects term?

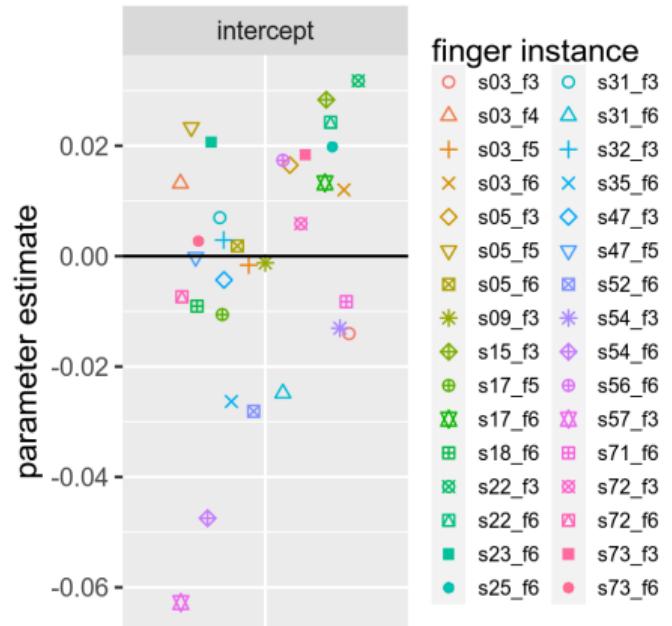
$$y_{ij} = \beta_0 + \beta_1 \cdot x_{ij} + \beta_2 \cdot q_{ij} + \alpha_i + \gamma_j + b_{0i} + b_{1i} \cdot x_{ij} + b_{2i} \cdot q_{ij} + e_{ij}$$

Effects on mated similarity score:

- ▶ random intercept: 38%
- ▶ time interval: 0.5%
- ▶ image quality: 0.05%
- ▶ random error: 61%

Results

subjects score differently well





da/sec

BIOMETRICS AND INTERNET-SECURITY
RESEARCH GROUP

Conclusion



Fingerprint (Template) Ageing

Methods

Results

Conclusion

Summary of findings, limitations & possible implications

- ▶ no general **fingerprint template ageing**
- ▶ no general effect of image quality
- ▶ high inter-individual variability of mated similarity scores

Summary of findings, limitations & possible implications

- ▶ no general **fingerprint template ageing**
- ▶ no general effect of image quality
- ▶ high inter-individual variability of mated similarity scores

Summary of findings, limitations & possible implications

- ▶ no general **fingerprint template ageing**
- ▶ no general effect of image quality
- ▶ high inter-individual variability of mated similarity scores

Fingerprints, forever young?
Yes - at least during long periods of professional life!

Roman Kessler

roman.kessler@stud.h-da.de

Hochschule Darmstadt, ATHENE, da/sec Research Group



Milan, Italy , 10-15 Jan 2021

Fingerprints, forever young?

Roman Kessler

Hochschule Darmstadt, Germany &
Laboratory for Multimodal Neuroimaging
University of Marburg, Germany
roman.kessler@stud.h-da.de

Olaf Henniger

Fraunhofer Institute for
Computer Graphics Research IGD
Darmstadt, Germany
olaf.henniger@igd.fraunhofer.de

Christoph Busch

Hochschule Darmstadt, Germany &
NTNU Gjøvik, Norway
christoph.busch@h-da.de